SWCAPITAL

| Data as on month ending - March 2023 (Broking) | | | | | | | | | | | |
|--|-------------------------|-------------------------------------|---------------------------------|------------------|----------|---------------------------------|------------------|---|--|--|--|
| Sr.No | Received from | Carried Forward from previous month | Received during the month | Total Pending | Resolved | Pending at the end of the month | | Average Resolution time (in days) | | | |
| | | | | | | Pending for less | Pending for more | | | | |
| | | | | | | than 3 | than 3 | | | | |
| | | | | | | months | months | | | | |
| 1 | Directly from investors | 0 | 0 | 0 | 0 | 0 | 0 | - | | | |
| 2 | SEBI (SCROES) | 0 | 0 | 0 | 0 | 0 | 0 | - | | | |
| 3 | Depositories | 0 | 0 | 0 | 0 | 0 | 0 | - | | | |
| 4 | Other Sources (If any) | 0 | 0 | 0 | 0 | 0 | 0 | - | | | |
| 5 | Grand Total | 0 | 0 | 0 | 0 | 0 | 0 | - | | | |

| Data as on month ending - March 2023 (DP) | | | | | | | | | | | | |
|---|-------------------------|----------------------|------------|---------|----------|--------------------|----------|----------------|--|--|--|--|
| | | | Received | | | | | Average | | | | |
| | | Carried Forward from | during the | Total | | Pending at the end | | Resolution | | | | |
| Sr.No | Received from | previous month | month | Pending | Resolved | of the month | | time (in days) | | | | |
| | | | | | | Pending | Pending | | | | | |
| | | | | | | for less | for more | | | | | |
| | | | | | | than 3 | than 3 | | | | | |
| | | | | | | months | months | | | | | |
| 1 | Directly from investors | 0 | 0 | 0 | 0 | 0 | 0 | - | | | | |
| 2 | SEBI (SCROES) | 0 | 0 | 0 | 0 | 0 | 0 | - | | | | |
| 3 | Depositories | 0 | 0 | 0 | 0 | 0 | 0 | - | | | | |
| 4 | Other Sources (If any) | 0 | 0 | 0 | 0 | 0 | 0 | - | | | | |
| 5 | Grand Total | 0 | 0 | 0 | 0 | 0 | 0 | - | | | | |